



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
ROBERT G. SCHINAZI ET AL.

Serial No.: 10/791,682

Filed: MARCH 2, 2004

Title: FLOW RESTRICTOR DEVICE
FOR A MEDICAL APPARATUS

Examiner: C. RODRIGUEZ

Art Unit: 3763

Confirmation No.: 2590

Deposit Account: 04-1403

Customer No.: 22827

Commissioner for Patents
U.S. Patent and Trademark Office
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Alexandria, VA 22313-1450

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list citing two items for consideration per Rule 98(a)(1): 1 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98 and/or as indicated on the attached list(s):
1 item(s)
- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items:

☐ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☐ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☒ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i. ☒ Certification per Rule 97(e); OR
- ii. ☐ Filing Fee per Rule 17(p)\$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p)\$180.00

3. ☒ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☒ That each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

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Name: _____
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- 4.[x] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

- 5.[x] CERTIFICATE OF MAILING: This Information Disclosure Statement is being filed pursuant to [CHECK AND COMPLETE ONE]:

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DORITY & MANNING, ATTORNEYS AT LAW, P.A.

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Signature: Stephen E. Bondura

Date: MARCH 6, 2006

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Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: RSZ-4	Serial Number: 10/791,682
	Applicant: ROBERT G. SCHINAZI ET AL.	
	Filing Date: 3/2/04 Confirmation No: 2590	Group Art Unit: 3763

- NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]
- (1) This item is cumulative, per Rule 98(c)
 - (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
USSN _____, filed _____, or
USSN _____, filed _____;
Relied on under 35 U.S.C. Section 120, per Rule 98(d)
 - (3) Both reasons (1) and (2) apply
 - (4) No legible complete copy is possessed, in custody of controlled, or readily available
 - (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS												
EXAMINER INITIALS		PATENTEE NAME		PATENT NUMBER						ISSUE DATE	COPY NOTE	
		UTRACKI ET AL.		6	5	5	0	9	5	6	4/22/03	5

FOREIGN PATENT DOCUMENTS														
EXAMINER INITIALS		COUNTRY	DOCUMENT NUMBER						PUBLICATION DATE		TRANSLATION			COPY NOTE
											YES	NO	N/A	

*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS		COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication		
	PCT SEARCH REPORT		12/7/05
EXAMINER			DATE CONSIDERED
Examiner:	initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		

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ATTORNEY DOCKET NO.: RSZ-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)	Examiner: C. RODRIGUEZ
ROBERT G. SCHINAZI ET AL.)	
Serial No.: 10/791,682)	Art Unit: 3763
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Filed: MARCH 2, 2004)	Confirmation No.: 2590
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Title: FLOW RESTRICTOR DEVICE)	
FOR A MEDICAL APPARATUS)	Customer No.: 22827

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450
MAIL STOP AMENDMENT

Sir:

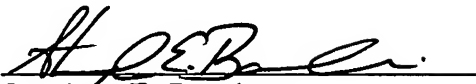
The present information Disclosure Statement is submitted in accordance with applicants' Duty of Disclosure.

Applicants are submitting a copy of the Search Report issued by the PCT Office on the corresponding PCT application as well as a copy of U.S. Patent No. 6,550,956 cited therein.

The Examiner is encouraged to contact the undersigned at his convenience should he have any questions regarding this matter or require any additional information.

Respectfully submitted,

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